

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449 (Modified)</b> <b>List of References</b>	Attorney Docket No. 061165-5001-US	Serial No. 10/799,205
	Applicants Waelbroeck	
	Filing Date Mar 11, 2004	Group 3624

<b>U.S. PATENT DOCUMENTS</b>
------------------------------

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
Change(s) applied to document, /E.M.S./ 10/18/2011	7,136,834	<del>Apr. 2001</del>	Merrin et al.			
		11/14/2006				

<b>FOREIGN PATENT DOCUMENTS</b>
---------------------------------

	Document Number	Date	Country	Class	Sub Class	Translation	
						YES	NO

<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>
---

	EP Appln. No. 05729202 Supplementary European Search Report, 05-Feb-2008
	Domowitz I: "A Taxonomy of Automated Trade Execution Systems", Journal of International Money and Finance of Butterworth Scientific, Guildford, GB, vol. 12, 1993, pgs. 607-632
	Hendershott T: "Electronic Trading In Financial Markets", IT Pro, July/August 2003, pgs. 10-14

Examiner /Bruce Ebersman/	Date Considered 07/03/2008
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449 (Modified)</b> <b>List of References</b>	Attorney Docket No. <b>061165-5001-US</b>	Serial No. <b>10/799,205</b>
	Applicants <b>Waelbroeck</b>	
	Filing Date <b>Mar 11, 2004</b>	Group <b>3624</b>

<b>U.S. PATENT DOCUMENTS</b>
------------------------------

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
/B.E./	6,578,014	06-2003	Murcko			
	US2002/0035534	03-2002	Buist et al.			
	7,003,486	02-2006	Condamoor et al.			
	6,985,883	01-2006	Togher et al.			
	7,130,823	10-2006	Rayner et al.			
	US2003/0093343	05-2003	Huttenlocher et al.			
	6,408,282	06-2002	Buist			
	6,321,212	11-2001	Lange			
	6,317,728	11-2001	Kane			
	5,873,071	02-1999	Ferstenberg et al.			
	6,304,858	10-2001	Mosler et al.			
	2002/0082967	<del>27-Jan-2002</del>	Kaminsky	06/27/	2002	
	2003/0083973	01-May-2003	Horsfall			
	2003/0009411	09-Jan-2003	Ram			
	2002/0052827	02-May-2002	Waelbroeck			

Change(s) applied  
to document  
✓  
/E.M.S./B.E./

10/18/2011

FOREIGN PATENT DOCUMENTS								
	Document Number	Date	Country	Class	Sub Class	Translation		
						YES	NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
/B.E./		PCT/ISA/210 for PCT/US04/19706 dated Dec. 20, 2005
/B.E./		PCT/ISA/210 for PCT/US05/07463 dated May 11, 2007
/B.E./		PCT/ISA/210 for PCT/US07/08745 dated Oct. 18, 2007

Examiner <b>/Bruce Ebersman/</b>	Date Considered <b>11/13/2007</b>
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449 (Modified)</b> <b>List of References</b>	Attorney Docket No. 061165-5001-US	Serial No. 10/799,205
	Applicants Waelbroeck	
	Filing Date Mar 11, 2004	Group 3624

<b>U.S. PATENT DOCUMENTS</b>
------------------------------

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
/B.E./	5,845,266	Dec-1998	Lupien et al.			
/B.E./	5,689,652	<del>Apr-1995</del>	Lupien et al.			
Change(s) applied to document, /E.M.S./ 10/13/2011		11/18/1997				

FOREIGN PATENT DOCUMENTS								
	Document Number	Date	Country	Class	Sub Class	Translation		
						YES	NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

Examiner /Bruce Ebersman/	Date Considered 11/13/2007
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	